

## IAF RESOLUTIONS AND TRANSITIONS NOTIFICATION

**THE IAF TWENTY-EIGHTH ANNUAL GENERAL ASSEMBLY IN VANCOUVER ON 16 OCTOBER 2014 RESOLVED TO ENDORSE THE FOLLOWING STANDARDS AS NORMATIVE DOCUMENTS WITH TRANSITION PERIODS AS INDICATED BELOW;**

Standards	Transition period
<b>ISO 14001:2015</b>	3 years from the date of publication
<b>ISO/IEC 17021-1:2015</b>	2 years from the date of publication
<b>ISO 50003:2014</b>	3 years from the date of publication

SANAS Accredited Certification Bodies (CB's) are encouraged to take note of the following resolutions and transition announcements.

### ISO 9001:2015

The International Accreditation Forum (IAF) and the ISO Committee on Conformity Assessment (CASCO) have agreed to a three year transition period from the publication date of ISO 9001:2015. IAF Resolution 2013-15 was passed by the IAF General Assembly in Seoul on 23 October 2013 endorsing a 3 year transition period to ISO 9001:2015.

IAF has developed an information document, IAF ID9:2015 on transition planning guidance for ISO 9001:2015.

This document provides guidance for the transition from ISO 9001:2008 to ISO 9001:2015 and has been prepared by the International Accreditation Forum (IAF) in cooperation with ISO/TC 176/SC 2/WG 23 to provide advice to interested parties on transition arrangements to be considered before implementing ISO 9001:2015.

SANAS reminds affected Certification Bodies (CB's) that, upon publication of these standards and in order to facilitate a smooth transition, accredited certification bodies will be required to submit a transition action plan detailing, as a minimum, the following:

- All specific actions to be taken to implement the changes,
- Timeframes for completion of such actions,
- The persons responsible for the actions,
- Process in place to monitor progress and completion of the actions.

The action plan will need to demonstrate an impact analysis of the new standard on the system and communication of changes to interested parties, as appropriate. It is important that CB's consider the content of this Bulletin carefully so that we can work towards a seamless transition period culminating in the successful transfer of their accreditation.





## Transition from ISO/IEC Guide 65:1996 to ISO/IEC 17065:2012

The ISO/IEC Guide 65:1996 was revised and published as ISO/IEC 17065:2012 on 15 September 2012.

Resolution 2012-09 was passed by the IAF General Assembly in Rio de Janeiro on 24 October 2012 endorsing a three year transition period to ISO/IEC 17065:2012. The IAF has developed the Informative Document (ID) 7 so as to support consistent application of requirements.

Assessments conducted in 2014 were in accordance to the new standard, ISO/IEC 17065:2012, SANAS hereby reminds affected CB's to stick to their transition plans so as to conform by the 14 September 2015.

Furthermore, documentation for all new applications are expected to be in accordance with ISO/IEC 17065:2012 as SANAS no longer accepts applications aligned to ISO/IEC Guide 65:1996 since 15 September 2013.

CB's are reminded that all upcoming assessments will be carried out in accordance with the new standard, which will include an assessment of the changes in the documented management system and the implementation of the action plan. Certification bodies will be required to have performed an internal audit to assess the effectiveness of the changes and its implementation in compliance with ISO/IEC 17065:2012.

## Transition from ISO/IEC 17024:2003 to ISO/IEC 17024:2012

The new ISO/IEC 17024 was published on July 1, 2012. It incorporates new requirements for CB's that performs certification of persons. Many of the requirements from IAF Guidance Document GD 24:2009 have been included in the new ISO/IEC 17024:2012. IAF has developed the Informative Document (ID) 6 so as to support consistent application of requirements. Resolution 2012-10 was passed by the IAF General Assembly in Rio de Janeiro in October 2012 endorsing a three (3) year transition period to ISO/IEC 17024:2012.

Assessments conducted in 2014 were in accordance to the new standard, ISO/IEC 17065:2012, SANAS hereby reminds affected CB's to stick to their transition plans so as to conform by the 30 June 2015. Furthermore, all new applications are expected to be in accordance with ISO/IEC 17024:2012 as SANAS no longer accepts applications aligned to the 2003 version since 1 July 2013.

CB's are reminded that all upcoming assessments will be carried out in accordance with the new standard, which will include an assessment of the changes in the documented management system and the implementation of the action plan. Certification body will be required to have performed an

internal audit to assess the effectiveness of the changes and its implementation in compliance with ISO/IEC 17024:2012.

## Transition from ISO/TS 22003:2007 to ISO/TS 22003:2013

ISO/TS 22003:2013 was published on 15 December 2013. It incorporates revised and new requirements for third-party certification auditing of Food Safety Management Systems (FSMS) and the competence of personnel involved in the certification process. IAF has developed the Informative Document (ID) 8 so as to support consistent application of requirements. Resolution 2013-14 was passed by the IAF General Assembly in Seoul 23 October 2013 endorsing a 3 year transition period to ISO/TS 22003:2013. SANAS hereby reminds affected CB's to stick to their transition plans so as to conform by the 14 December 2016.

**Please Note:** No new applications or extension of scope will be accepted to ISO/TS 22003:2007 after the 15th December 2015. Also note that IAF has developed MD16:2014 on the application of ISO/IEC 17011 for the Accreditation of Food Safety Management Systems (FSMS) Certification Bodies which will be applicable from the 15th December 2016.

## ISO/IEC TS 17021-2

This technical specification (TS) on competence requirements for auditing and certification of environmental management systems, specifies additional competence requirements for personnel involved in the audit and certification process for Environmental Management Systems (EMS) and complements the existing requirements of ISO/IEC 17021. This TS was published in August 2012 with a 2 year transition period which ended in August 2014. SANAS has developed and used checklist F211 during 2014 assessments to monitor the implementation of this TS within the CB's competence management system. SANAS will continue to make use of this checklist for all scheduled assessments in 2015.

## ISO/IEC TS 17021-3

This TS on competence requirements for auditing and certification of quality management systems, complements the existing requirements of ISO/IEC 17021. It includes specific competence requirements for personnel involved in the certification process for quality management systems (QMS). This TS was published in May 2013, also with a 2 year transition period which ends by the 30th April 2015. SANAS has developed and used checklist F212 during 2014 assessments to monitor the implementation of this TS within the CB's competence management system. SANAS will continue to make use of this checklist for all scheduled assessments in 2015.



## IAF Mandatory Documents

SANAS Accredited Certification Bodies are advised to visit the International Accreditation Forum (IAF) website: [www.iaf.nu](http://www.iaf.nu) and download and implement the requirements of the following published mandatory documents:

### IAF MD 12:2013

This document on Assessment of Certification Activities for Cross Frontier Accreditation, is mandatory for the consistent application of Clause 7 of ISO/IEC 17011:2004 regarding certification bodies that provide certification in countries outside the country in which their head office is located. Clauses 7.5.7 and 7.5.8 of ISO/IEC 17011 prescribe requirements for assessment of locations from which key activities are performed, irrespective of where in the world these activities are performed. It is in this view that SANAS requests all accredited certification bodies (CB's) to identify:

- Countries into which accredited certificates are issued and the number of certificates issued in each country;
- Countries in which the CAB operates from a fixed office location that performs any certification activities;
- Countries in which the CAB has remote personnel that perform any certification activities;
- Which fixed office locations are responsible for performing and/or managing key activities as defined in IAF/ILAC A5, or from where remote personnel performing key activities are managed; and
- The CAB's arrangements for managing all activities that are performed from a foreign fixed office location or by remote personnel.

**Please Note:** *The recording of this information is not for the purpose of granting prior permission to the CB to issue certificates in a particular country, but to enable the SANAS to plan its assessment program based on up-to-date knowledge of the full geographic scope of the CB's accredited activities.*

### IAF MD 15:2014

This mandatory document on the collection of data to provide indicators of a Management System Certification Body's performance is aimed at providing input to Accreditation Bodies for managing the corresponding surveillance activities as foreseen in ISO/IEC 17011, clause 7.11.2, which requires Accreditation Bodies to:

*“establish procedures and plans for carrying out periodic surveillance on-site assessments, other surveillance activities and reassessments at sufficiently close intervals to monitor the continued fulfilment by the accredited CAB of the requirements for accreditation”.*

It is in this view that SANAS requests all affected accredited certification bodies (CB's) to report on performance indicators below:

- Number of accredited certificates valid at the end of December;
- Number of auditors;
- Number of transfers accepted;
- Number of overdue audits; and
- Number of auditor-days delivered.

**Please Note:** *SANAS will require this information by the end of January of each year going forward.*

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