

Workshop Item 1 - ISO 9001: 2008 migration

- Joint IAF-ISO Communiqué on migration to ISO 9001: 2008
 - ISO 9001: 2008 does not contain any new requirements
 - Accredited Certification to ISO 9001:2008 shall not be granted until publication of ISO 9001
 - Affected CB to communicate to their clients & make staff aware of new version.
 - One year after publication of ISO 9001:2008 all accredited certifications issued shall be to ISO 9001:2008

Workshop Item 2:

P 05-06 – The assessment of Certification Bodies

Important Documents & Application process

- SANAS Policy manual
- CL-CB: SANAS Manual Contents of Certification
- Summary of Accreditation process
- Maximum period of 6 months for applicant to address Document Review findings.
- Initial assessment within 1 year of receiving application form

Workshop Item 3:

P 05-06 – The assessment of Certification Bodies

ISO/IEC 17011:2004; 7.5.6

Witnessing process of Critical scopes

5.4.4 For initial assessments – critical scopes shall be witnessed.

5.4.5 Where the number of critical scopes applied for is greater than 4 then SANAS will witness 4 critical scopes and cover the rest during the cycle.

Workshop Item 4:

P 05-06 – The assessment of Certification Bodies

ISO/IEC 17011:2004; 7.5.6 Application process

Witnessing process of non critical scopes

- 5.4.6 Where the application for an initial assessment contains more than 4 non-critical scopes, SANAS shall witness a minimum of 2 certifications. SANAS will sample the rest of the files for review before accreditation. If the application contains 4 or less than 4 non-critical scopes, SANAS shall witness a minimum of 1 scope.

Workshop Item 5:

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Witnessing process of Food Scopes

5.4.7 Where the application for an initial and/or extension of scope contains scopes in the food sector,

Food scopes are regarded as critical and SANAS will witness critical scopes before granting accreditation.

Workshop Item 6:

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Extension of scope: Witnessing process

- 12.1.3 For extension to critical scopes, SANAS shall witness the scope prior to accreditation being granted to the CB for that scope.
- 12.1.4 For non critical scopes, SANAS shall witness a minimum of 1 scope per application for extension prior to the extension being given as outlined in section 5.4 and conduct a file review on the remainder.

Workshop Item 7:

P 05-06 – The assessment of Certification Bodies

Coverage of scope within the cycle

- 5.4.3 SANAS is responsible for developing a 3-year assessment schedule to allow for the appropriate sampling of scopes to be assessed through either witnessing and/or file review.
- The sampling is based on the number of critical scopes included in the application and volume of certifications.
 - The sampling plan shall sample all critical scopes over a 3-year.

Workshop Item 8:

P 05-06 – The assessment of Certification Bodies

Coverage of scope within the cycle *Cont.*

- 5.4.2 Witnessed audits would preferably be for initial or re-certification audits in order that the full process can be evaluated.
- 5.4.8 After the initial assessment and the CB having been accredited, SANAS disperses the witnessings throughout the 3-year cycle.
- 5.4.9 A minimum of one witnessing per field, (e.g. QMS, EMS) would be conducted on an annual basis.

Impartiality Committee

5.2.2

- a Conflicts Identified for both Certification Activities and Relationships.
- b. Conflicts Analysed from both Certification Activities and Relationships.
- c. Conflicts Documented.
- d. How does the Certification Body eliminates or minimizes such threats.
- e. Are all potential sources of conflicts identified e.g. Ownership, Governance, Management, Personnel, Shared Resources, Finances, Contracts, Marketing and Payment of a Sales Commission or other Inducement. Note

Impartiality Committee *cont.*

- 5.2.4 Certification Bodies do not certify another Certification Body or a Laboratory.
- 5.2.5/6 Certification Bodies do not provide Management Consultancy or provide Internal Audits.
- 5.2.7 Note 1 Has the 2 year rule been applied (Staff & Management)?
- 5.2.8 Does the Certification Body outsource audits to consultancy?
- 5.2.9 Certification Bodies Marketing may not be linked.
- 5.2.12 Certification Bodies shall not allow pressures to effect impartiality.
- 5.2.13 Do the Certification Bodies ensure that Personnel reveal conflicts?

Impartiality Committee

- 5.3.2 Did the Certification Body submit their Evaluation of Finances and resources of income in order to demonstrate continuously a lack of commercial, financial or other pressures do not comprise its impartiality e.g. Shareholding, Royalties, Commissions, other income?
- 6.2.1 (a) Did the Impartiality Committee assist in Developing Impartiality Policies
- 6.2.1 (b) Did the Impartiality Committee assure that the Certification Body counteracts any tendency to allow commercial considerations to influence certification?
- 6.2.1/5.2.1 (c) Do the Impartiality Committee advise on matters affecting confidence, openness and public perception (i.e. websites or marketing material)?

Important Aspects

- 6.2.1 (d) Did the Impartiality Committee conduct a review of the impartiality of the Audit; Certification and Decision making of the Certification Body?
- 6.2.1 Where the Impartiality Committee delegated other tasks (no comprise).
- 6.2.2 Was the Composition, Terms of Reference, Duties, Authorities, Competence of members of Impartiality Committee formally documented?
 - 6.2.2 (a) Was there a balance of interest at the Impartiality Committee meetings? (Who has a mandate from whom?)

Important Aspects

6.2.2 (c) Do the impartiality Committee know their authority in terms of independent action e.g. Notifying the Accreditation Body; Regulatory Authorities or Stakeholders?

6.2.3 Have key interests been identified and invited e.g. NGO, Tertiary Education, Regulators, Clients, Consumer Organizations, Purchasing Organizations, Producers.



Thank you